

CURRICULUM VITA

AUGUST 2018

BRIAN P. SHAPIRO

Department of Accounting
University of St. Thomas
Opus College of Business
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EDUCATION

Ph.D. in Business Administration (December, 1990), University of Minnesota, (Major Accounting; Minor Psychology).

Undergraduate coursework in accounting and business administration, University of Minnesota, June 1982 - August 1984.

B.A. in Ancient Near Eastern Studies and in Latin (March 1981), University of Minnesota.

PROFESSIONAL EMPLOYMENT - HIGHER EDUCATION

September 2015 – Present	David A. and Barbara Koch Distinguished Professor of Accounting and Business Ethics
September 2014 – Present	Professor, Accounting, University of St. Thomas
2007- August 2014	Associate Professor, Accounting, University of St. Thomas
January 2004 – 2007	Assistant Professor, Accounting, University of St. Thomas
September 1997 – December 2003	Assistant Professor, Accounting, University of Minnesota
January 1991 - June 1997	Assistant Professor, Accounting, University of Arizona
September 1984 – December 1990	Teaching and Research Assistant, University of Minnesota

COURSES TAUGHT

- Introduction to Financial Accounting (graduate and undergraduate)
- Introduction to Financial/Managerial Accounting (undergraduate)
- Intermediate Accounting II
- Intermediate Accounting I (graduate and undergraduate)
- Auditing (graduate and undergraduate)
- Accounting Information Systems (graduate)
- Theology and the Management Professions (undergraduate integrative capstone)

CURRENT RESEARCH INTERESTS

My current research develops and explores institutional, critical, and communitarian approaches to accounting regulation, practice, professionalism, business ethics, and work. My past research used experimental methods in psychology and economics to test behavioral theories of decision making.

PEER-REVIEWED PUBLICATIONS

- Goodpaster, K. E., Maines, T.D., Naughton, M.J., and Shapiro, B.P. (2018). Using UNPRME to teach, research, and enact business ethics: insights from the Catholic Identity Matrix for business schools. *Journal of Business Ethics*, 147(4), 761-777. DOI: 10.1007/s10551-017-3434-5. A full-text view-only PDF can be accessed here: <http://rdcu.be/oFU8>
- Shapiro, B. (2016). Using traditional narratives and other narrative devices to enact humanizing business practices. *Journal of Business Ethics*, 139(1), 1-19. (DOI) 10.1007/s10551-015-2645-x. A full-text view-only PDF can be accessed here: <http://rdcu.be/mHpL>
- Jiang, X., Lunawat, R., and Shapiro, B. (2015). The impact of financial histories on individuals and societies: a replication of and extension of Berg et. al. (1995). *Replication in Experimental Economics (Research in Experimental Economics, Volume 18)*, 95-135.
- Shapiro, B., and Naughton, M. (2015). The expression of espoused humanizing values in organizational practice: a conceptual framework and case study. *Journal of Business Ethics*, 126, 65-81.
- Shapiro, B., and Naughton, M. (2013). Toward an integrated vision of undergraduate liberal and accounting education in the public interest: The holistic development of persons and institutions. *Accounting and the Public Interest*, 13, 14-38.
- Shapiro, B., Cohen, N., and Naughton, M. (2013). Leviticus on how to make and distribute profit. *Society and Business Review*, 8(1), 45-54.
- Shapiro, B. (2009). A comparative analysis of theological and critical perspectives on emancipatory praxis through accounting. *Critical Perspectives on Accounting*, 20(8), 944-955.
- Shapiro, B., and Matson, D. (2008). Strategies of resistance to internal control regulation. *Accounting, Organizations and Society*, **33**, 199-228.
- Shapiro, B. (2006). Accounting science's contribution to the corporate governance and executive accountability problem. *Accounting and the Public Interest*, 6, 51-69.
- Schatzberg, J., Sevcik, G., Shapiro, B., Thorne, L., and Wallace, S. (2005). A re-examination of behavior in experimental audit markets: The effects of moral reasoning and economic incentives on auditor reporting and fees. *Contemporary Accounting Research*, **22(1)**, 229-264.
- Shapiro, B. (2005). Thematized selective disclosure. *Critical Perspectives on Accounting*, **16**, 299-326.
- Anctil, R., Dickhaut, J., Kanodia, C., and Shapiro, B. (2004). Information transparency and coordination failure: Theory and experiment. *Journal of Accounting Research*, **42(2)**, 159-195.
- Baker, C. R., and Shapiro, B. (2003). Information technology and the social construction of information privacy: Reply. *Journal of Accounting and Public Policy*, **22**, 287-290.
- Shapiro, B. (2002). Rash words, insincere assurances, uncertain promises: Verifying employers' intentions in labour contracts. *Critical Perspectives on Accounting*, **13**, 63-88.

- Shapiro, B., and Baker, C. R. (2001). Information technology and the social construction of information privacy. *Journal of Accounting and Public Policy*, **20**, 295-322.
- Waller, W., Shapiro, B., and Sevcik, G. (1999). Do cost-based pricing biases persist in laboratory markets? *Accounting, Organizations and Society*, **24**, 717-739.
- Shapiro, B. (1998). Toward a normative model of rational argumentation for critical accounting discussions. *Accounting, Organizations and Society*, **23**, 641-663.
- Shapiro, B. (1997). Objectivity, relativism, and truth in external financial reporting: What's really at stake in the disputes? *Accounting, Organizations and Society*, **22**, 165-185.
- Fisher, J., Schatzberg, J., and Shapiro, B. (1996). A theoretical and experimental examination of strategic auditor-client interaction. *Advances in Accounting*, **14**, 135-160.
- Schatzberg, J., Sevcik, G., and Shapiro, B. (1996). Exploratory experimental evidence on independence impairment conditions: Aggregate and individual results. *Behavioral Research in Accounting* **8** (Supplement), 173-195.
- Joyce, E., and Shapiro, B. (1995). Invariance violations and mental accounting procedures in riskless matching. *Organizational Behavior and Human Decision Processes*, **62**, 175-189.
- Shapiro, B., Van den Broek, P., and Fletcher, C. (1995). Using story-based causal diagrams to analyze disagreements about complex events. *Discourse Processes*, **20**, 51-77.
- Bloom, C., Fletcher, C., Van den Broek, P., Reitz, L., and Shapiro, B. (1990). An online assessment of causal reasoning during comprehension. *Memory & Cognition*, **18**, 65-71.

WORKING PAPERS

- Garrison, M., and Shapiro, B. 2018. Minnesota Diversified Industries, Inc.: Managing a Successful Business with a Social Mission. University of St. Thomas Teach Case.

WORK IN PROGRESS

- Garrison, M., and Shapiro, B. 2018. Competing Institutional Logics at Minnesota Diversified Industries, Inc.

INACTIVE WORKING PAPERS

- Chui, L., Pike, B., and Shapiro, B. (2015). Epistemic threats to auditor independence.
- Fogarty, T., Radcliffe, V., and Shapiro, B. (2016). Becoming what you pretend to be: large accounting firm advertising and the eclipse of professionalism.
- Naughton, M., and Shapiro, B. (2016). Humanizing internal control investments and decisions: Implications for accounting education.
- Sathe, R., and Shapiro, B. (2008). An interdisciplinary perspective on director liability, effective governance, and auditing.

BOOK CHAPTERS

- Shapiro, B. (2008). A basic introduction to Web content accessibility. In Goff, E. and Higbee, J.L. (Eds.), *Pedagogy and Student Services for Institutional Transformation: Implementation Guidebook*, pp. 78-80. Minneapolis, MN: College of Education and Human Development, University of Minnesota. Available at <http://www.cehd.umn.edu/passit>.

Shapiro, B. (2003). Technology transformation and universally accessible Web tables. In Higbee, J. L. (Ed.), *Curriculum Transformation and Disability: Implementing Universal Design in Higher Education*, pp. 265-284. Minneapolis, MN: Center for Research on Developmental Education and Urban Literacy.

EDUCATIONAL SOFTWARE

Shapiro, B. "Analysis of the FASB conceptual framework: Definitions and applications." Windows software for intermediate accounting. Richard D. Irwin, Inc. 1995.

INVITED COMMENTARY

Shapiro, B. (July 22, 2002). Legitimation crisis in accounting and beyond. *The Minnesota Daily*, vol. 103 (158), p. 7.

EDITORIAL BOARD APPOINTMENTS

- *Accounting Forum* (2011 – present)
- *Accounting and the Public Interest* (2006 - present)
- *Critical Perspectives on Accounting* (2009 - present)
- *Research on Professional Responsibility and Ethics in Accounting* (2008 - present)

AD HOC REVIEWS – RESEARCH (past five years)

- *Accounting, Organizations and Society*
- *Behavioral Research in Accounting*
- *Contemporary Accounting Research*
- *Journal of Accounting and Public Policy*
- *Journal of Business Ethics*
- *AAA Monograph on Accounting Ethics*
- *AAA Annual Meetings – Public Interest Section*
- *Scandinavian Management Journal*

PRESENTATIONS AND DISCUSSIONS

August 6, 2017 – Discussant, Reiter, S. and Williams, P., “Making sense of public interest narratives”, 22nd Annual Accounting Ethics Research Symposium.

April 1, 2016 – Research Forum, “Becoming what you pretend to be: large accounting firm advertising and the eclipse of professionalism”, AAA Midyear Public Interest Section Meeting, Orlando, FL.

April 1, 2016 – Discussant, ““Sustainability” Reported and “Sustainability” Received: Disparate Narratives” (M. Bradford, J. B. Earp., and P. F. Williams, North Carolina State University), AAA Public Interest Section Mid-Year Meeting, Orlando, FL.

October 22-24, 2015 – Presented “Using UNPRME to Teach, Research, and Enact Business Ethics: Insights from the Catholic Identity Matrix for Business Schools”, at the 22nd Annual International Vincentian Business Ethics Conference (IVBEC), New York, NY.

April 17, 2015 – Invited accounting ethics workshop, “Enacting Values in Business Practices.” Micah Center for Business Ethics, Seton Hall University, South Orange, NJ.

September 12, 2014 – Invited presentation, “Epistemic Limits to Auditor Objectivity and Skepticism”, at a conference organized by the University of Maryland’s Center for the Study of Business Ethics, Regulation, and Crime, College Park, MD.

May 30, 2014 – Invited presentation, “Humanizing internal control investments and decisions: Critique and implications for accounting education,” at IX Simposio Nacional y VII Internacional Investigación Contable y Docencia, May 29-31, 2014. Universidad del Quindío, Armenia, Colombia.

May 29, 2014 – Invited presentation, “The emancipatory potential of narrative traditions: Humanizing business and accounting practices,” at IX Simposio Nacional y VII Internacional Investigación Contable y Docencia, May 29-31, 2014. Universidad del Quindío, Armenia, Colombia.

March 28, 2014 – Faculty presenter of “Developing an interdisciplinary public interest accounting research program”, at the Doctoral Student/New Scholars Consortium for the 2014 AAA Midyear Public Interest Section Meeting, San Diego, CA.

March 28, 2014 – Discussant, “What is important in corporate social responsibility information?”, by Cho, C. H. (ESSEC Business School), Hageman, A. M. (Kansas State University), and Jerome, T. (HEC), 2014 AAA Mid-year Public Interest Section Meeting, San Diego, CA.

January 3, 2014 – Presented “Humanizing Internal Control Discussions in Introductory Financial Accounting”, at Mission Driven Business Education: Examining the Uniquely Catholic Dimensions of Undergraduate Business Education, January 2-4, 2014. Ave Maria University, Ave Maria, FL.

April 19, 2013 – “The organizational expression of espoused humanizing values in business and financial reporting practice,” at the Waterloo Accounting Ethics Symposium, April 18-20, 2013. Toronto, Ontario.

March 22, 2013 – Faculty presenter of “Using your talents, interest, and abilities to develop a public interest accounting research program, and to engage in meaningful work as an accounting teacher-scholar” at the Doctoral Student/New Scholars Consortium for the 2013 AAA Midyear Public Interest Section Meeting, New Orleans, LA.

March 11, 2013 – Respondent and Panelist at Higher Calling event titled “The Vocation of the Business Leader: Seeking Meaning in the Global Economy”, hosted by the Veritas Institute of the Opus College of Business, John A. Ryan Institute for Catholic Social Thought, and the Habiger Institute for Catholic Leadership of the Center for Catholic Studies.

August 4, 2012 – Workshop/presentation during the Accounting Ethics Bootcamp CPE session held prior to the AAA 2012 annual meeting in National Harbor, MD.

November 11, 2011 – Invited presentation of “The emancipatory potential of narrative traditions: humanizing the governance, decision making, and accountability practices of business organizations” at University of Western Ontario, London, Ontario, Canada.

October 3, 2011 – Led and moderated a panel titled “An Interreligious Dialogue on Faith and Work” at St. Mary’s University of Minnesota, Winona, MN.

July 11, 2011 – Presented “The emancipatory potential of narrative traditions: humanizing the governance, decision making, and accountability practices of business organizations” at Critical Perspectives on Accounting Conference, July 10-12, 2011. Clearwater Beach, FL.

July 11, 2011 – Discussed “Twin Evils of Bank Secrecy”, by Jonathan Njoku, at Critical Perspectives on Accounting Conference, Clearwater Beach, FL.

July 10, 2011 – Served as Participating Faculty at the Critical Perspectives on Accounting Emerging Scholars Colloquium for Ph.D. students.

July 5, 2011 – Presented “Practical Wisdom in Management from the Holiness Code of Leviticus 19” at the Practical Wisdom in Management from the Jewish Tradition Conference, July 4-6, 2011. Ben Gurion University of the Negev, Be’er Sheva, Israel.

April 2, 2011 -- Discussed “In Search of a Strategy to Hit the Jackpot: State Revenues from Casino Gambling” (by Camp, J., Hartley, C., and Kelly, P.), at AAA Public Interest Section Mid-Year Meeting, Chicago, IL, April 1-2, 2011.

March 8, 2011 – Presenter and moderator of presentations, dinner questions, and panel discussion for an event titled “Doing Virtuous Business: An interreligious dialogue on faith and work”, held in the UST Opus College of Business. This event was sponsored by the Muslim-Christian Dialogue Center, the Jay Philips Center for Interfaith Learning, and the OCB SAIP Institute.

August 1, 2010 - Integrating Liberal Education with Business and Accounting Education in a Senior Undergraduate Capstone Course, 15th Annual Ethics Research Symposium. San Francisco, CA..

April 23, 2010 – Accountability properties of sacred narrative traditions, Alternative Accounts Conference and Workshop, April 23-24, 2010. York University, Toronto, Canada.

August 2009 – A Comparative Analysis of Theological and Critical Perspectives on Emancipatory Praxis through Accounting, at American Accounting Association annual meeting, New York, NY.

August 3, 2009 – Senior Scholar discussant of “Like Moths Attracted to Flames: Executives and Financial Reporting Frauds”, by Michel Magnan, Denis Cormier, and Pascale Lapointe-Atunes, at American Accounting Association Annual Meeting, August 2-5, 2009. New York, NY.

June 2009 – Discussant of “Citizenship, the Kingdom of Heaven, Upside-Down Ethics and Stewardship”, by Bruce Reichenbach, at Seeing Things Whole: Theology of Institutions, Augsburg College, Minneapolis, MN.

November 2008 – Led an hour long discussion based on three Jewish creation stories at the *Seeing Things Whole Conference* (Connecticut).

June 2008 – Gave three presentations as Resident Faculty at the AAA Doctoral Consortium, Lake Tahoe, CA.

June 2008 – Presented “Comparative Analysis of Theological and Critical Perspectives on Emancipatory Praxis and Accounting” at the Conference on Business Education at Catholic Universities, University of Notre Dame, Notre Dame, IN.

April 2008 – Presented “Critical and Theological Perspectives on the Objective and Subjective Dimensions of Work”, and discussed one paper at the Critical Perspectives on Accounting Conference (New York)

August 2007 – Discussed 4 papers for the Public Interest Section of the AAA, at the AAA annual meeting in Chicago.

August 2007 – Discussed 3 papers for the 12th Research on Accounting Ethics Symposium at the AAA annual meeting in Chicago

November 2006 – A Critique of Director Accountability and Governance Quality under the Business Judgment Rule. Invited workshop, University of North Texas.

August 2006 – A Critique of Director Accountability and Governance Quality under the Business Judgment Rule, AAA annual meeting, Washington, D.C.

August 2006 – Discussant of one paper, AAA annual meeting, Washington, D.C.

July 2005 – Corporate Resistance to Government-Imposed Internal Control Regulation, Critical Management Studies Conference, Cambridge, UK.

May 2005 – Corporate Resistance to Government-Imposed Internal Control Regulation, University of Manchester, Manchester UK.

April 2005 – Corporate Resistance to Internal Control Regulation, Critical Perspectives on Accounting, New York.

April 2005 – Discussant of three papers, Critical Perspectives on Accounting, New York.

August 2004 – Rendering Accountability Invisible, Ninth Symposium on Ethics Research in Accounting, Orlando, FL.

August 2004 – Rendering Accountability Invisible, AAA annual meeting, Orlando, FL.

June 2004 – Rendering Accountability Invisible, Administrative Sciences Association of Canada Annual Meeting, Quebec.

May 2004 – Sarbanes-Oxley panel participant, SEC Issues Update Conference, Minneapolis, MN.

November 2003 -- Information Transparency and Coordination Failure: Theory and Experiment, University of Central Florida.

November 2003 – Keynote Plenary Speaker, Academy of Accounting Historians, Denton, TX. (Topic: Rendering Accountability Invisible).

July 2003 – Panel Member on Sarbanes-Oxley, Society for Business Ethics, Seattle, WA.

July 2003 – Discussant of two papers at Interdisciplinary Perspectives on Accounting Conference, Madrid.

July 2003 – Thematized Selective Disclosure, Interdisciplinary Perspectives on Accounting Conference, Madrid.

June 2003 – Thematized Selective Disclosure, ASAC, Halifax, Nova Scotia.

April 2003 -- An Experimental Markets Investigation of Auditor Independence: The Effect of Economic Incentives and Moral Reasoning, University of Oklahoma.

November 2002 – “Information Transparency and Coordination Failure”, Georgia State University.

May 2002 – Big 10 Doctoral Consortium, Madison, WI.

May 2002 – Bush Innovative Teaching and Technology Strategies Grant project (Web-based tutorials on the audit process). Six Bush participants presented their projects to other Bush participants, consultants, and facilitators from three colleges, the Center for Teaching and Learning Services, and the Digital Media Center.

April 2002 – “Thematized Fair Disclosure”, 2002 Critical Perspectives on Accounting Conference, New York.

April 2002 – Discussed three papers presented at the 2002 Critical Perspectives on Accounting Conference, New York.

April 2002 – “Information Transparency and Coordination Failure”, Midwest Regional AAA meeting, Milwaukee, WI.

August 2001 – Discussed two papers presented at the Sixth Symposium on Ethics Research in Accounting, Atlanta, GA.

August 2001 – Discussed two concurrent session papers (Topics in Auditing), AAA meeting, Atlanta, GA.

May 2001 – “Information Transparency and Coordination Failure”, University of Minnesota Accounting Conference, Minneapolis, MN.

August 2000 – Information Technology and the Social Construction of Information Privacy. Conference on Accounting, Information Technology and Public Policy, College Park, MD.

August 2000 – Discussed three concurrent session papers (Topics in Auditing, Teaching and Curriculum Section). AAA annual meeting, Philadelphia, PA.

January 2000 -- Rash Words, Insincere Assurances, Uncertain Promises: Accounting’s Role as Timely Advisor. University of Alberta.

November 1999 —Rash Words, Insincere Assurances, Uncertain Promises: Accounting’s Role as Timely Advisor. Concurrent session at the 25th International Conference for the Association of Moral Education, in Minneapolis.

August 1999—Rash Words, Insincere Assurances, Uncertain Promises: Accounting’s Role as Timely Advisor. Concurrent session at AAA meeting in San Diego.

April 1999 – Trust and Reciprocity in Experimental Markets. (Invited panel presentation). *Fifth Critical Perspectives on Accounting Conference, New York.*

April 1999 -- Rash Words, Insincere Assurances, Uncertain Promises: Accounting’s Role as Timely Advisor. *Fifth Critical Perspectives on Accounting Conference, New York.*

June 1998 – Associative Strength and the Editing of Mental Accounts. *Accounting Workshop, University of Minnesota.*

May 1998 – Associative Strength and the Editing of Mental Accounts, Carlson School of Management Schoolwide Interdisciplinary Research Conference.

February 1998 – “A Story-Based Analysis of the Causes and Consequences of Accounting and Economic Change.” *Cognitive Science Colloquium, University of Minnesota.*

July 1997 – B. Shapiro. “Using Story-Based Diagrams to Analyze the Causes and Consequences of Accounting Change. *Fifth Interdisciplinary Perspectives on Accounting Conference, Manchester, U.K.*

April 1997 – B. Shapiro. “Using Story-Based Diagrams to Analyze the Causes and Consequences of Accounting Change. *Accounting Workshop, University of Minnesota.*

August 1996 -- B. Shapiro. "Objectivity, Relativism, and Truth in External Financial Reporting: What's Really at Stake in the Disputes?" *1996 AAA meeting (Chicago).*

August 1996 -- Discussant, “Emotions, Ethics, and Rationality: Auditor Independence from an Alternative Perspective,” by C. Windsor. *1996 AAA Professionalism and Ethics Committee Symposium on Ethics Research in Accounting (Chicago).*

April 1996 -- B. Shapiro. "Objectivity, Relativism, and Truth in External Financial Reporting: What's Really at Stake in the Disputes?" *Fourth Critical Perspectives on Accounting Symposium (New York).*

August 1995 -- Schatzberg, J., Sevcik, S., and B. Shapiro. "Experimental Evidence on Independence Impairment Conditions: Aggregate and Individual Results". *1995 ABO conference on ethics in accounting (Orlando).*

August 1995 -- Sevcik, G., Shapiro, B., and W. Waller. "Economic Selection of Costing Routines: An Experimental Study". *1995 AAA meeting (Orlando).*

November 1994 -- Sevcik, G., Shapiro, B., and W. Waller. "Economic Selection of Costing

Routines: An Experimental Study". *Joint Arizona State University/University of Arizona Accounting Colloquium, Arizona State University.*
November 1992 – B. Shapiro. Auditors' Causal Reasoning in Preliminary Analytical Review. Accounting Workshop, Arizona State University.
March 1990 – B. Shapiro. Auditor's Causal Reasoning in Preliminary Analytical Review. Cornell University, Duke University, Michigan State University, University of Arizona.

OTHER CONFERENCES AND SEMINARS ATTENDED

August 6 – 9, 2017 – American Accounting Association Annual Meeting, San Diego, CA.
August 6, 2017 – Co-chair, 22nd Annual Ethics Symposium, San Diego, CA.
June 5 – 9, 2017, Catholic Studies Faculty Seminar, "Common Good", led by Russell Hittinger (University of Tulsa), University of St. Thomas
April 20-21, 2017 -- Invitation-Only Conference: University of Waterloo 2017 Ethics Symposium (Accounting Ethics and Regulation: SOX 15 Years Later), Toronto, Canada, April 20-21, 2017.
August 6-10, 2016 – 21st Annual Ethics Symposium and American Accounting Association Annual Meeting, Chicago, IL
June 13 – 17, 2016, Catholic Studies Faculty Seminar, "Liberal Education, the Catholic Intellectual Tradition, and the Purpose of a University, University of St. Thomas
September 3-4, 2015 – Accounting IS Big Data, American Accounting Association, New York, NY.
August 2015 – 20th Annual Ethics Symposium and American Accounting Association Annual Meeting, Chicago, IL.
June 11-15, 2013 – Catholic Social Doctrine: Church, State, and Society, Faculty Seminar in Catholic Studies, led by Brian Benestad (University of Scranton)
August 5, 2012: 17th Annual Ethics Symposium, National Harbor, MD
August 4, 2012 – Attended Advanced Accounting Ethics CPE Session, AAA 2012 Annual Meeting, National Harbor, MD
October 14-16, 2011 – Seeing Things Whole, Albertville, MN.
October 30 – November 1, 2009 – Seeing Things Whole, Albertville, MN.
August 1, 2009: 14th Annual Ethics Symposium, New York, NY
June 10-11, 2009 – Seeing Things Whole: Theology of Institutions, Augsburg College, Minneapolis, MN
June 7-10, 2006 – Catholic Intellectual Tradition, UST Gainey Center, Owatonna, MN
August 2006 – AAA annual meeting, Washington, D.C.
July 2005 – Critical Management Studies Conference, Cambridge, UK
April 2005 – Critical Perspectives on Accounting, NY
August 2004 – Ninth Symposium on Ethics Research in Accounting, Orlando, FL.
August 2004 – AAA annual meeting, Orlando, FL.
June 2004 – Administrative Sciences Association of Canada Annual Meeting, Quebec.
May 2004 – SEC Issues Update Conference, Minneapolis, MN.
November 2003 – Academy of Accounting Historians, Denton, TX.
July 2003 – Society for Business Ethics, Seattle, WA.
July 2003 – Interdisciplinary Perspectives on Accounting Conference, Madrid.
June 2003 – Administrative Sciences Association of Canada, Halifax, Nova Scotia.

Journal of Accounting Research Conference (2003)
Deloitte & Touche Foundation Trueblood Seminar for Professors (2003)
Contemporary Accounting Research Conference, invited participant, November 2002, Kitchener, Ontario.
KPMG/UIUC Business Measurement Research Program, invited participant, August 2002, San Antonio, TX.
SEC Issues Update, Maslon, Edelman, Broman, & Brand, Minneapolis, MN, May 2002
Modern Studies in Privacy Law, University of Minnesota Law School Symposium, February, 2002
American Accounting Association annual meeting (1991, 1993, 1995, 1996, 1998-2001)
American Accounting Association Midwest regional meeting (2002)
14th Symposium on Audit Research, Champaign-Urbana, IL, September 2000
Information Technology and the Social Construction of Information Privacy. Conference on Accounting, Information Technology and Public Policy, College Park, MD
Enhancing the Learning Experience Seminar, Deloitte & Touche Foundation, Colorado Springs, CO, July 2000
25th International Conference for the Association of Moral Education, Minneapolis, November 1999
Critical Perspectives on Accounting Conference, New York (1996, 1999, 2002)
Fifth Interdisciplinary Perspectives on Accounting Conference, Manchester, U.K., July 1997.
Ethics and Economic Behavior in Accounting and Taxation Research Conference, The University of Oklahoma School of Accounting, April 1997
Sixth Symposium on Ethics Research in Accounting (2001)
AAA Professionalism and Ethics Committee Symposium on Ethics Research in Accounting, August 1996
ABO conference on ethics in accounting (1995)
Deloitte & Touche Foundation Trueblood Seminar for Professors (1995)
USC Audit Judgment Symposium (1992, 1994)

GRANTS, HONORS, AWARDS, AND NOMINATIONS

Best Paper Award, 22nd International Business Ethics Conference, Oct. 22-24, 2015, NY.
Goodpaster, K. E., Maines, T.D., Naughton, M.J., and Shapiro, B.P. (2015). Using UNPRME to Teach, Research, and Enact Business Ethics: Insights from the Catholic Identity Matrix for Business Schools. Submitted to the 22nd Annual International Vincentian Business Ethics Conference (IVBEC) (New York, NY: October 22-24, 2015).
Nomination, Julie Hays Teaching Award, University of St. Thomas Opus College of Business, 2011, 2015, 2017.
David A. and Barbara Koch Distinguished Professor of Accounting and Business Ethics, 2014 – Present.
Nomination, John Ireland Presidential Award for Outstanding Achievement as Teacher-Scholar, University of St. Thomas, 2014.
Nomination, Susan B. Heckler Research Excellence Award, Opus College of Business, University of St. Thomas, 2014.
Research Fellow, John A. Ryan Institute for Catholic Social Thought, University of St. Thomas (Awarded in 2012).

Nomination, John Ireland Presidential Award for Outstanding Achievement as Teacher-Scholar, University of St. Thomas, 2013.

McLaughlin Prize for Research in Accounting Ethics (2003), University of Oklahoma, for Schatzberg, J., Sevcik, G., Shapiro, B., Thorne, L., and Wallace, S. An Experimental Markets Investigation of Auditor Independence: The Effect of Economic Incentives and Moral Reasoning.

Nomination, Carlson Faculty of the Year, 2003

Honorable mention by one or more Spring 2002 University of Minnesota graduating students, who named me as the teacher of the best course in their major at the University of Minnesota.

Appreciation award for efforts to help make the Web accessible to students with disabilities, granted by the University of Minnesota Disability Services and University of Minnesota Disabled Student Cultural Center (February 2002). Awards are granted once each month to two University faculty or departments who have done positive things for people with disabilities on campus.

CSOM faculty participant in University of Minnesota Bush Faculty Development Grant: Enhancing Student Learning Through Innovative Teaching and Technology Strategies, 2001-2002.

McKnight-BER summer research support, 2001.

1995 Excellence in Teaching Award, given annually by The Board of Trustees of the Arizona CPA Foundation

Nomination for MBA Teacher of the Year Award, University of Arizona, 1995

Price Waterhouse Research Grant, Summer 1993

University of Arizona Small Grants Program 1993-1994

University of Arizona Small Grants Program, 1991-1992

Honeywell Graduate Scholarship, 1989

3M Company Graduate Scholarship, 1988

Deloitte Haskins+Sells Doctoral Fellowship, 1987-1988

American Accounting Association Doctoral Consortium Fellow, University of Minnesota, 1987

Ernest A. Heilman Memorial Fellowship in Accounting (in recognition of teaching excellence), 1985

Carlson School of Management Graduate Fellowship, University of Minnesota, 1984-1985

Price Waterhouse Graduate Fellowship, 1984

Phi Beta Kappa, 1980

MEDIA QUOTES AND INTERVIEWS

- Somers, M. (April 15, 2014). Feeling taxed today? How about a prayer to St. Matthew? *The Washington Times*. <http://www.washingtontimes.com/news/2014/apr/14/feeling-taxed-today-how-about-prayer-st-matthew/>.
- Royce, Graydon. (December 7, 2012). Orchestra reports record deficit. *Minneapolis Startribune*, p. B2.
- Oslund, J.J., and Kennedy, P. (April 29, 2010). Star Tribune 100: Most of Minnesota's biggest companies grow confidence, *Minneapolis StarTribune*, p. D1.
- Oslund, J.J., and Kennedy, P. (May 22, 2006). Executive compensation: 2006 annual report. *Minneapolis StarTribune*, p. D1.
- Nelson, T. (May 8, 2006). All Sarbanes-Oxley, all the time. *Minneapolis StarTribune*, p.D5.
- Beal, D. (February 1, 2006). Reactions vary to restatements. *St. Paul Pioneer Press*.
- Garrison-Sprenger, Nicole. (September 9, 2005). Acquisitions take LarsenAllen into Arizona, Florida Markets. *Minneapolis/St.Paul Business Journal*.
- Blahnik, Michael. (April 1, 2004). Battle of the bottom line. *Minneapolis StarTribune*, pp. D1, D4. [About stock option expensing]
- Catlin, Bill. (February 16, 2004). Loading, key issue for General Mills, has long history. (*Minnesota Public Radio*, Morning Edition.)
- Iversen, Ivar A. (July 13, 2003). Status quo etter Enron. *Dagsavisen* (Norwegian National Daily Newspaper).
- Martyka, J. (December 6, 2002). Audit committees in the hot seat. *The Business Journal*.
- Martyka, J. (November 1, 2002). Audit committees face new pressures. *The Business Journal*.
- Beal, D. (October 6, 2002). Restated results ring up a red alert. *St. Paul Pioneer Press*.
- Huber, Tim. (September 24, 2002). Stockwalk audits targeted. *St. Paul Pioneer Press*.
- Brooks, Doherty. (July 30, 2002). KSJN AM 1330 (Minnesota News Network) radio interview regarding President Bush's signing of new legislation on accounting regulation, new criminal penalties, and corporate responsibility.
- Bodine, Heidi. (July 30, 2002). *Channel 5 Midday*. Comments aired regarding President Bush's signing of new legislation on accounting regulation, new criminal penalties, and corporate responsibility.
- Gibbs, N. (July 22, 2002). Summer of mistrust. *Time Magazine*, Vol. 160, No.4, pp. 16-20.
- Anderson, Mark. (July 18, 2002). Local accountants skeptical about congressional moves. *Finance and Commerce*.
- Rosenfeld, Tasya. (July 17, 2002). Pre-interview for *Minnesota Public Radio*.
- Huber, Tim. (July 16, 2002). Few opt to list option expense. *St. Paul Pioneer Press*.
- Huber, Tim. (July 9, 2002). Accounting is not so clear-cut. *St. Paul Pioneer Press*.
- Grace, Brian. (July 8, 2002). KSJN AM 1330 Radio interview regarding reforms in light of recent accounting scandals. For Minnesota News Network (includes 70 affiliate stations).
- Fiedler, T., and Wieffering, E. (June 27, 2002). Financial scandals erode investors' trust. *Minneapolis Star Tribune*, pp. A1, A8.
- Tellijohn, Andrew. (April 19, 2002). Best Buy puts limits on auditor. *CityBusiness*.
- St. Anthony, Neal. (April 10, 2002). Minneapolis Andersen cuts an estimated 50 jobs. *Minneapolis Star Tribune*.
- Oslund, J., and Kennedy, Patrick. (April 8, 2002). One and the same? [nonaudit services and auditor independence]. *Minneapolis Star Tribune*.

St. Anthony, Neal. (March 22, 2002). Neal St. Anthony/On Business: Andersen partners face fight/flight decision. *Minneapolis Star Tribune*.

Anderson, Mark. (March 16, 2002). Polaris dumps Andersen: Plymouth company cites concern about ability of accounting firm to survive fallout from Enron scandal. *Finance and Commerce*.

Maler, Kevin. (March 16, 2002). Defections follow Andersen charges. *St. Paul Pioneer Press*.

Maler, Kevin. (February 24, 2002). Eyes on the auditors. *St. Paul Pioneer Press*.

Maler, Kevin (February 24, 2002). Auditing grows more complex. *St. Paul Pioneer Press*.

Hoogesteger, J. (February 22, 2002). Audits a small slice of fees paid to Big 5. *CityBusiness*.

Maler, Kevin. (February 2, 2002). Enron's collapse: Hatch wants to restrict auditors. *St. Paul Pioneer Press*.

Stawicki, Elizabeth. (January 29, 2002). Interviewed about proposed changes to auditor independence rules in light of Enron. *Minnesota Public Radio*.

1/20/02 and 1/27/02: Half hour public affairs program for Radio City News Network regarding accounting and other issues surrounding Enron. Carried on 14 radio stations.

Ellingson, B. (December 5, 2001). Grant, workshops aim to improve disability awareness. *The Minnesota Daily*, p. 5.

Martyka, Jim. (November 2001). Beyond taxes: accounting firms add tech services for one-stop shopping. *Ventures*.

Brouillard, S. (October 2001). Busting out of the backroom: accountants leverage privileged relationship to expand services. *Minnesota Business*, October, pp. 32-36.

PROFESSIONAL AFFILIATIONS

American Accounting Association
 Accounting Behavior and Organizations Section
 Public Interest Section
 Teaching and Curriculum Section
 Auditing Section

SERVICE COMMITTEE ACTIVITIES – UNIVERSITY OF ST. THOMAS

- Chair, UST Promotion and Tenure Committee (2017-2018)
- At-Large Member, UST Promotion and Tenure Committee (2014 - 2017)
- Interfaith Council (2016 – Present)
- Preliminary Judging Panel – Mid-Size and Large Size Category, Minnesota Business Ethics Award, April, 2017.
- Finalist Judging Panel – Mid-Size and Large Size Category, Minnesota Business Ethics Award, April, 2016.
- Committee Member, Principled Leadership Working Group. (2015- Present)
- Interreligious Curriculum for Business Education Working Group (Fall 2016 - Present)
- Moss Chair Search Committee (Fall 2015)
- Committee Member, Search Committee for Director of AACSB Administration (2014).
- OCB Task Force on Applying the Veritas Institute's Catholic Identity Matrix (CIM) to assess the Catholic identity of Catholic business schools (2013-2015)
- OCB Research and Professional Development Strategic Planning Task Force (2014-2015)

- OCB Research Task Force (2013, 2014)
- Working Professional MBA Project Working Group, with Eduvantis (2013)
- Chair, OCB AACSB Accreditation Committee (2012 – 2014)
- At-large member of the OCB AACSB Accreditation Committee (2011 – 2012)
- Faculty Advisory Committee Liaison to the OCB AACSB Accreditation Committee (2009-2011)
- Immediate Past Chair of the OCB Faculty (2010-2011)
- Chair of the OCB Faculty (2009 – 2010)
- Vice Chair of the OCB Faculty (2008 – 2009)
- At-large member of OCB Faculty Advisory Committee (2006-2008)
- Chair, OCB Research Committee (2007-2008)
- At-large member of OCB Research Committee (2004 - 2007)
- Department of Accounting Faculty Search Committee (2004 - 2005)
- Chair, Department of Accounting Faculty Search Committee (2006-2008)

OTHER EXTERNAL SERVICE – AMERICAN ACCOUNTING ASSOCIATION

- Co-chair, 2017 Accounting Research Ethics Symposium (San Diego, CA, August 6, 2017).
- Co-chair, 2014 AAA Midyear Public Interest Section Meeting (San Diego, March 28-29, 2014)
- Presenter, 2012 AAA Accounting Ethics Bootcamp CPE session.
- BRIA Best Paper Selection Committee, 2010-2012
- API Editor Selection Committee, 2012
- BRIA Editor Selection Committee, 2011

SERVICE ACTIVITIES – EXTERNAL COMMUNITY

- Bet Shalom Congregation Leadership Development Program Co-Chair, 2006 - Present
- Member, Bet Shalom Congregation Board of Trustees, 2005 – 2009
- Treasurer and Member of Executive Committee, Bet Shalom Congregation, 2007 – 2009

SERVICE ACTIVITIES – UNIVERSITY OF MINNESOTA (1998-2002)

- Instructional Technology Advisory Committee (Carlson School of Management) (2002)
- University of Minnesota WebCT Advisory Committee (Carlson School of Management representative on this university-wide committee) (2002)
- Department of Accounting research workshop coordinator (1998, 2000-2001)
- Department of Accounting research conference co-coordinator (2001)
- Strategic Performance Measurement Initiative (Accounting Department representative in the Academic Departments Collaborative Group, for Carlson School of Management) (1998-1999)
- Department of Accounting Faculty Search Committee (1998-1999)
- Department of Accounting Scholarship Committee (1998-1999)
- Accounting Majors Information Session (1999, 2000)

SERVICE ACTIVITIES – UNIVERSITY OF ARIZONA (1991-1997)

Invited presentation, "Rationality and Objectivity in External Financial Reporting", at the October 1995 Institute of Management Accountants meeting in Tucson, AZ.

Member of the American Accounting Association Screening Committee for the *Notable Contributions to the Accounting Literature* award - 1995.

Accounting department liaison to the BPA college's *Business Information Center* (Fall 1992 - Spring 1995).

BPA college representative on the *Intercollegiate Writing Committee* (Spr 1993 - Spr 1996).

Panelist at the University of Arizona's February 19, 1995 Spring Conference, *Writing Our Community: The People, The Place, The Story*.

Chairperson, University of Arizona Small Grants Peer Review Panel, Spring 1993.

Reviewed papers submitted for presentation at the national AAA meetings (1991, 1992, and 1995) and the Western Regional AAA meeting (1992 and 1994).

DOCTORAL DISSERTATION COMMITTEES – UNIVERSITY OF ARIZONA

Braun, Gary. (1995). An Empirical Examination of Auditors' Assertion-Level Inherent Risk Assessments: Tests of Explanations for Constancy over Assertions.

Gramling, Audrey. (1995). The Effects of Client and Partner Influence on Audit Judgments.

Hyatt, Troy. (1995). The Effects of Audit Firm Structure and Auditors' Locus of Control on Job Stress, Job Satisfaction and Performance.

Morton, Jane. (1993) Order Effects on Auditors' Internal Control Judgements: Belief Perseverance Versus the Contrast Effect.

Myers, Pat. (1995). The Effect of Explanation Source and Type of Auditors' Judgment Performance.

Taylor, Mark. (1994). The Effects of Industry Specialization on Auditors' Inherent Risk Assessments and Second-Order Uncertainty.

Zimbelman, Mark. (1996). Assessing the Risk of Fraud in Audit Planning.